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JC855 U.S. PTO

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PTO/SB/50 (4/98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

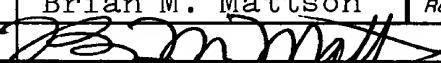
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144261  
JC564 U.S. PTO  
00/66/90

## REISSUE PATENT APPLICATION TRANSMITTAL

<p><i>Address to:</i></p> <p>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</p>	Attorney Docket No.	RRE-P-00-001
	First Named Inventor	NOVUSEL, ET AL.
	Original Patent Number	5,855,004
	Original Patent Issue Date (Month/Day/Year)	Dec. 29, 1998
	Express Mail Label No.	EH754987507US
<b>APPLICATION FOR REISSUE OF:</b> <i>(check applicable box)</i> <input type="checkbox"/> Utility Patent <input type="checkbox"/> Design Patent <input type="checkbox"/> Plant Patent		
<b>APPLICATION ELEMENTS</b>		<b>ACCOMPANYING APPLICATION PARTS</b>
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/56) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification and Claims (amended, if appropriate) 3. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate) 4. <input checked="" type="checkbox"/> Reissue Oath / Declaration (original or copy) <i>(37 C.F.R. § 1.175)(PTO/SB/51 or 52)</i> 5. Original U.S. Patent <input checked="" type="checkbox"/> Offer to Surrender Original Patent (37 C.F.R. § 1.178) <i>(PTO/SB/53 or PTO/SB/54)</i> or <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Affidavit / Declaration of Loss (PTO/SB/55)		7. <input type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) <i>(if applicable)</i> 8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 9. <input type="checkbox"/> English Translation of Reissue Oath/Declaration <i>(if applicable)</i> 10. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, (PTO/SB/09-12) <input type="checkbox"/> Status still proper and desired 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 13. <input type="checkbox"/> Other: ....
6. Original U.S. Patent currently assigned? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>(If Yes, check applicable box(es))</i> <input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53 or 54) <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney		<small>NOTE FOR ITEMS 1 &amp; 10: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</small>

<b>14. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number or Bar Code Label				<input type="checkbox"/> Correspondence address below 235560	
<small>(Insert Customer number or attach bar code label here)</small>					
Name					
<small>PATENT TRADEMARK OFFICE</small>					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

NAME (Print/Type)	Brian M. Mattson	Registration No. (Attorney/Agent)	35,018
Signature			Date
		6/9/00	

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## REISSUE APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional)

RRE-P-00-001

## Claims as Filed - Part 1

Claims in Patent	For	Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
(A) 20	Total Claims (37 CFR 1.16(j))	(B) 50	***30	= x \$ 9 =	270		
(C) 1	Independent Claims (37 CFR 1.16(i))	(D) 2	* 1	= x \$ 39 =	39	or x \$ =	
Basic Fee (37 CFR 1.16(h))				\$ 345		\$ 564	
Total Filing Fee				\$ 654		OR \$ 109	

## Claims as Amended - Part 2

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	* =	x \$ =		or x \$ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ =		or x \$ =	
Total Additional Fee				\$		OR	\$	

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

\*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

\*\*\* After any cancelation of claims

\*\*\*\* If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).

\*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

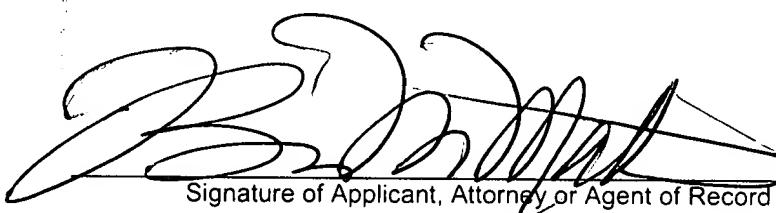
Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.  
 A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0595.  
 A duplicate copy of this sheet is enclosed.

A check in the amount of \$ 654.00 to cover the filing / additional fee is enclosed.

6-9-00.

Date



Signature of Applicant, Attorney or Agent of Record

Brian M. Mattson, Reg. No. 35,018

Typed or printed name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REISSUE SERIAL NO. :  
GROUP ART UNIT :  
EXAMINER :  
PATENT NO. : 5,855,004  
INVENTORS : Novosel et al.  
TITLE : "SOUND RECORDING AND REPRODUCTION  
SYSTEM FOR MODEL TRAIN USING  
INTEGRATED DIGITAL COMMAND  
CONTROL"

TRANSMITTAL LETTER FOR REISSUE APPLICATION  
BY EXPRESS MAIL #EH 754987507 US

Assistant Commissioner for Patents  
BOX REISSUE  
Washington, D.C. 20231

SIR:

Submitted herewith are a Reissue Application including the Specification and Claims; Drawings (20 Sheets); Reissue Application Inventor's Declaration and Power of Attorney for inventor Novosel (including Attachment "A"); Reissue Application Inventor's Declaration and Power of Attorney for inventor Boles (including Attachment "A"); and Reissue Application Inventor's Declaration and Power of Attorney for inventor Fleszewski (including Attachment "A").

Also enclosed is a Consent by Assignee executed by Michael Novosel, an executed Offer to Surrender for each of the three inventors, and a return receipt postcard. A check for the requisite filing fee of \$654.00 is attached hereto. Please charge any additional fees that may be due and owing or credit any overpayment to Deposit Account No. 50-0595. *A duplicate copy of this sheet is enclosed for this purpose.*

Date: June 9 2000

Respectfully submitted

Brian M. Mattson, Reg. No. 35,018  
Patents+TMS  
A Professional Corporation  
1914 North Milwaukee Avenue  
Chicago, IL 60647  
Tel: 773/772-6009  
Attorney for Applicant

Patents +TMS  
1914 North Milwaukee Avenue  
Chicago, IL 60647  
Tel.: 773/772-6009  
Fax: 773/772-3210

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

EXPRESS MAIL LABEL NUMBER: EH 754987507 US

DATE OF DEPOSIT: JUNE 9, 2000

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Asst. Commissioner for Patents  
BOX REISSUE  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

CONTENTS:

Our Case No.: RRE-P-00-001

Reissue Patent Application for Novosel et al.

Entitled: "SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL"

Transmittal Form in duplicate transmitting:

- 1) Specification and Claims for U.S. Patent No. 5,855,004
- 2) Drawings (20 sheets)
- 3) Reissue Declaration and Power of Attorney for inventor Novosel
- 4) Reissue Declaration and Power of Attorney for inventor Boles
- 5) Reissue Declaration and Power of Attorney for inventor Fleszewski
- 6) Offer to Surrender Original Patent (One for each of three inventors)
- 7) Consent by Assignee
- 8) Check in the amount \$654.00
- 9) Return Receipt Postcard

6/9/00

Signature of person mailing documents and fees

REISSUE APPLICATION BY THE INVENTOR,  
OFFER TO SURRENDER PATENTDocket Number (Optional)  
RRE-P-00-001

This is part of the application for a reissue patent based on the original patent identified below.

## Name of Patentee(s)

NOVOSEL ET AL.

## Patent Number

5,855,004

## Date Patent Issued

Dec. 29, 1998

Title of Invention SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL  
TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL

I am the inventor of the original patent.

I offer to surrender the original patent.

1.  Filed herein is a certificate under 37 CFR 3.73(b).
2.  Ownership of the patent is in the inventor(s), and no assignment of the patent has been made.

One of boxes 1 or 2 above must be checked.

The written consent of all assignees owning an undivided interest in the original patent is included in this application for reissue.

## Signature

*Michael J Novosel*

## Date

5-1-2000

## Typed or printed name

MICHAEL J. NOVOSEL

The assignee owning an undivided interest in said original patent is REAL RAIL EFFECTS, INC. and the assignee consents to the accompanying application for reissue.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

## Name of assignee

REAL RAIL EFFECTS, INC.

## Signature of person signing for assignee

*Michael J Novosel*

## Date

5-1-2000

## Typed or printed name and title of person signing for assignee

Michael J. Novosel

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REISSUE APPLICATION BY THE INVENTOR, OFFER TO SURRENDER PATENT		Docket Number (Optional) RRE-P-00-001				
<p>This is part of the application for a reissue patent based on the original patent identified below.</p> <p>Name of Patentee(s) NOVOSEL ET AL.</p> <table border="1"> <tr> <td>Patent Number 5,855,004</td> <td>Date Patent Issued Dec. 29, 1998</td> </tr> <tr> <td colspan="2">Title of Invention SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL</td> </tr> </table> <p>I am the inventor of the original patent.</p> <p>I offer to surrender the original patent.</p> <p>1. <input checked="" type="checkbox"/> Filed herein is a certificate under 37 CFR 3.73(b).</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent has been made.</p> <p>One of boxes 1 or 2 above must be checked.</p> <p>The written consent of all assignees owning an undivided interest in the original patent is included in this application for reissue.</p> <p>Signature <i>Vincent S. Fleszewski</i> Date <i>5/19/2000</i></p> <p>Typed or printed name VINCENT S. FLESZEWSKI, III</p> <p>The assignee owning an undivided interest in said original patent is REAL RAIL EFFECTS, INC. and the assignee consents to the accompanying application for reissue.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.</p> <p>Name of assignee REAL RAIL EFFECTS, INC.</p> <p>Signature of person signing for assignee <i>Michael J. Novosel</i> Date <i>5-1-2000</i></p> <p>Typed or printed name and title of person signing for assignee Michael J. Novosel</p>			Patent Number 5,855,004	Date Patent Issued Dec. 29, 1998	Title of Invention SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL	
Patent Number 5,855,004	Date Patent Issued Dec. 29, 1998					
Title of Invention SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL						

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REISSUE APPLICATION BY THE INVENTOR, OFFER TO SURRENDER PATENT		Docket Number (Optional) RRE-P-00-001
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This is part of the application for a reissue patent based on the original patent identified below.

Name of Patentee(s) NOVOSEL ET AL.	
Patent Number 5,855,004	Date Patent Issued Dec. 29, 1998
Title of Invention SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL	

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One of boxes 1 or 2 above must be checked.

The written consent of all assignees owning an undivided interest in the original patent is included in this application for reissue.

Signature <i>Kelly Boles</i>	Date 5-7-00
---------------------------------	----------------

Typed or printed name KELLY BOLES	
--------------------------------------	--

The assignee owning an undivided interest in said original patent is <u>REAL RAIL EFFECTS, INC.</u> and the assignee consents to the accompanying application for reissue.	
--	--

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.	
--	--

Name of assignee REAL RAIL EFFECTS, INC.	
---	--

Signature of person signing for assignee <i>Michael J. Novosel</i>	Date 5-1-2006
---	------------------

Typed or printed name and title of person signing for assignee Michael J. Novosel	
--	--

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